VEKTOR

BY

INVESTPUPPY

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Frequently Asked Questions

Fifteen questions that come up in every conversation about Vektor — answered directly, in plain language.

For DPMs, family office principals, and prospective partners

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InvestPuppy

These are the fifteen questions that come up in every conversation about Vektor. The answers here are plain-language summaries. For the full technical and methodological detail behind each answer, the relevant document is noted at the end of each response.

ABOUT THE PLATFORM

Q1. Is Vektor an AI trading system?

No. Vektor is a systematic portfolio management platform. It uses machine learning in two specific, bounded roles: filtering the instrument universe during research, and validating trading signals before they are reviewed by a portfolio manager. In both cases, machine learning produces an output — a screened list, a confidence score — that a human reviews and acts on. No position is taken without explicit portfolio manager approval. The machine does not trade.

See: WP-08: AI as Instrument

Q2. Who approves the trades?

The portfolio manager approves every strategy before any client assignment proceeds. The operations team records every cash funding. The portfolio manager confirms the allocation before any orders are submitted. These are three separate human actions — none can be automated or skipped.

See: WP-06: Audit Trail & Compliance, WP-08: AI as Instrument

Q3. What happens if the market crashes?

Vektor does not automatically liquidate positions in response to market movements — there is no automatic stop-loss or liquidation trigger. The portfolio manager reviews the strategy and decides whether to rebalance, hold, or exit positions. The platform provides current position data, signal status, and allocation calculation to support that decision. How a mandate responds to a market crash is a portfolio management decision, not a platform decision.

See: WP-02, WP-09: Risk Disclosure

Q4. Can I use Vektor alongside my existing holdings and systems?

Yes. Vektor is designed to complement existing infrastructure, not replace it. The platform outputs a complete allocation table via API — instruments, quantities, and values — that can feed into an existing PMS or execution system. A client with an existing equity research process can use Vektor for the systematic portfolio construction layer while continuing to use existing tools for everything else.

See: WP-07: Technical Architecture, Workflow Integration Guide

Q5. What data does Vektor use?

Three years of daily OHLCV (open, high, low, close, volume) price history for all configured instruments. Daily FX rates for all configured currency pairs. The platform was validated end-to-end using Yahoo Finance — sufficient for validating systematic construction logic, optimisation methodology, and order generation workflow. Yahoo Finance is the validation source; it is not the intended production source. Production deployment requires a professional-grade data feed. Bloomberg B-PIPE integration is the priority connector — to be built and validated in collaboration with the first Proof Partners. Their instrument universe and data requirements define the specification. InvestPuppy builds the connector; Proof Partners validate it. Refinitiv/LSEG follows Bloomberg, addressing Swiss and Middle East client requirements. A third data type is on the near-term roadmap: news sentiment, aggregated over a rolling window per instrument. The sentiment layer is parameterisable by market — news source, language, and window length are configurable per instrument universe. The architecture supports non-western character sets including CJK (Chinese, Japanese, Korean) and Arabic script, meaning Cantonese, Mandarin, Arabic, and other non-Latin language sources can be integrated without architectural change. An HKEX mandate may draw on Cantonese and Mandarin financial news; a Gulf mandate on Arabic-language financial news and regulatory announcements. The data source for each market will be documented before the sentiment layer is declared operational for that market. See WP-11: Signal Robustness Architecture.

See: WP-04: Indicator Selection, WP-05: Multi-Currency, WP-07: Technical Architecture (data quality controls, platform resilience, and data portability)

Q6. Which markets does Vektor support?

Any listed equity market. Exchange, benchmark, currency, and lot sizes are configuration parameters — not hard-coded values. The platform is illustrated with an SGX implementation because that is the initial deployment market, but the same methodology applies to any exchange with sufficient historical price data.

See: WP-01: Portfolio Construction

ABOUT GETTING STARTED

Q7. Is my data kept in Singapore?

The current deployment is in AWS ap-southeast-1 (Singapore). Data does not leave the configured AWS region as part of normal platform operation. AWS data residency guarantees apply per region. A client with UK or Hong Kong data residency requirements can deploy to the appropriate AWS region — the architecture is region-agnostic by design.

See: WP-07: Technical Architecture

Q8. What is the Proof Partners programme?

The Proof Partners programme is for boutique DPMs, EAMs, independent wealth managers, and family offices committing before the platform has a live track record. Proof Partners work directly with the Vektor team to configure the platform around their specific workflow, and receive preferential commercial terms locked for the duration. In exchange, Proof Partners are building the platform alongside us — their feedback shapes the product roadmap. For the complete programme specification — commercial structure, partner obligations, slot availability, and the twelve-month founding period — see the Proof Partners programme document.

See: Why Vektor, Proof Partners programme

Q9. Does Vektor have a live track record?

Not yet. The platform has a live track record in preparation — the complete workflow from research through order execution has been built and validated through paper trading with an IBKR account. A live track record with real client capital will begin with the first Proof Partner client. This is stated directly because it is a material fact for any investment manager evaluating the platform.

See: WP-09: Risk Disclosure, WP-10: Evaluating Performance, Proof Partners programme

Q10. What does Vektor cost?

Pricing is published openly at investpuppy.com/pricing. Annual AUM-tiered subscription: Entry (up to USD 55M) USD 24,000/year; Growth (USD 55M–185M) USD 48,000/year; Institutional (USD 185M–550M) USD 140,000/year; Enterprise (USD 550M+) from USD 240,000/year. Proof Partner rates reflect early commitment — Tier A (AUM below USD 55M at signing): USD 13,500/year, Year 1 and ongoing. Tier B (AUM USD 55M+ at signing): 35% below standard rate in Year 1, 35% below from Year 2 onwards, permanently, locked for the duration. Full pricing and Bloomberg comparison at investpuppy.com/pricing.

Q11. Is Vektor regulated?

Vektor is a portfolio construction and management platform. Regulatory obligations — licensing, client suitability, reporting — remain with the portfolio manager and the firm operating the platform. Vektor provides the audit trail and compliance documentation that supports those obligations. Specific regulatory standing and applicable permissions by jurisdiction are discussed in the partner due diligence process.

See: WP-06: Audit Trail & Compliance, WP-08: AI as Instrument

Q12. What delivery models does Vektor offer?

Three. Vektor : Boutique is a direct subscription for boutique DPMs, EAMs, independent wealth managers, and family offices at practitioner scale. Vektor : House is a direct subscription at institutional scale, with named account management and two deployment configurations — standard and hybrid. Vektor : Wrapped is a licensing channel for custodian banks and wealth platforms that embed Vektor in their EAM service offering; in this channel, the bank is the commercial counterparty and EAM clients access Vektor through the bank's platform.

On client data: the three models have materially different data governance architectures. In Boutique and House (standard), client identifying information is held in InvestPuppy's cloud under a Data Processing Agreement. In House (hybrid), client names never enter InvestPuppy's systems — pseudonymised data only. In Wrapped, InvestPuppy holds no EAM end-client data whatsoever. The full data residency framework, including a decision guide for model selection, is in the Three Delivery Models reference document, available on request.

See: One Platform, Three Delivery Models (available on request)

Q13. How do I get started?

Reach out to contact@investpuppy.com or visit investpuppy.com. The first conversation is about understanding your current workflow, your client base, and whether Vektor is the right fit — there is no commitment required. If you prefer, it can be covered by mutual NDA from the outset.

Q14. My mandate includes fixed income alongside listed equities. Can Vektor manage the equity component?

Yes. Vektor manages listed equity mandates as distinct, self-contained units. If your practice combines equities and fixed income, Vektor manages the equity component — your fixed income management continues independently, exactly as it does today. The two are not linked. Enquire and we will confirm fit for your specific structure. See: What Vektor Is Not.

Q15. What happens to my process if I'm unavailable?

Nothing stops. Vektor's systematic workflow runs independently of any single person's availability. Signal generation, portfolio optimisation, and order preparation continue on schedule. The platform does not require your continuous presence to function — that is precisely the point. What remains with you is the judgment: reviewing and approving orders before execution. That review can be delegated to another authorised person in your practice, or paused if no authorised approver is available. The process does not break; it waits.

Q16. What does onboarding look like for Entry and Growth tier clients?

Entry and Growth tier clients onboard through a structured self-service process — typically in days, not weeks, subject to client readiness and data availability.

The Proof Partners programme serves a dual purpose. Commercially, it creates the platform's first live track record. Operationally, it is the period in which InvestPuppy builds, tests, and validates the configuration templates and onboarding documentation that standard clients use. By the time standard clients begin subscribing, the onboarding process has been built and tested in production with real mandates.

For Entry and Growth tier clients, onboarding works as follows:

InvestPuppy provides pre-configured templates — developed during the Proof Partners period and validated in production with real mandates — in standard spreadsheet format, covering instrument universe, benchmark, strategy parameters, and risk settings. The client populates the templates with their own mandate data. They know their instrument universe and benchmark better than any vendor does; the templates provide the structure, the client provides the knowledge. Completed templates are uploaded directly to the platform. InvestPuppy validates the configuration for completeness and format correctness — not investment strategy appropriateness, which remains the portfolio manager's responsibility throughout. Once validation passes, the platform is ready to run.

Strategy setup, instrument configuration, and data connectivity are client-controlled from day one. There is no implementation project, no waiting for InvestPuppy to configure your universe, and no multi-week onboarding timeline for standard deployments.

InvestPuppy is available throughout with direct access to the founding team and a response commitment of one business day. For most Entry and Growth clients, the time from signed agreement to first live strategy run is typically days.

Clients with bespoke integration requirements — custom data feeds, OMS connectivity, or non-standard workflows — should discuss these at the due diligence stage. They may be better suited to the Alloy Partners programme.

See: Operational Walkthrough (IP-OW-260719-1.0), First 90 Days (available on request)

Q17. Does Vektor handle corporate actions?

Not automatically, at this stage. Corporate actions — stock splits, mergers, spin-offs, rights distributions, bonus issues, and conversions — are a defined Vektor roadmap item. They are not yet processed automatically.

Current handling: when a corporate action event is booked at the custodian, Vektor logs it in the portfolio audit trail and raises a portfolio manager alert. Position quantities are updated automatically on the subsequent end-of-day reconciliation from the custodian's confirmed position data — regardless of what corporate action type triggered the change. The PM reviews and determines whether a strategy parameter update is required.

The OpenWealth Trading and Custody Services API schemas are structurally prepared for corporate action processing. No custodian-side API changes are required when Vektor adds this capability. The gap is in Vektor's processing layer, not in the integration.

Clients whose mandates include regular corporate action events should discuss this with InvestPuppy before signing. For listed equity mandates with infrequent corporate actions, the current logging-and-alert approach is adequate for most operational requirements.

For clients for whom automated corporate action processing is a prerequisite, please raise this in the due diligence conversation. It is a defined milestone with a clear delivery path.

Q18. Is Vektor available to Hong Kong-based managers, or to Singapore managers with Hong Kong operations?

Yes to both. Vektor is a software tool for portfolio management workflow. Using it does not constitute a regulated activity in Hong Kong — regulatory responsibility remains with the SFC-licensed portfolio manager using the platform. This is the same position as applies in Singapore (MAS), the United Kingdom (FCA), and Switzerland (FINMA): Vektor is sold as software to regulated firms, who retain full regulatory accountability for their mandates. InvestPuppy plans to confirm this position formally with Hong Kong legal counsel ahead of active Hong Kong commercial engagement.

For Singapore-based managers with Hong Kong entities or operations: the same subscription can serve both sides of this structure. A client whose Singapore entity subscribes can run mandates across their Hong Kong operations through the same platform, same configuration, and same commercial terms. This is one of the structural advantages of Vektor's market-agnostic architecture — any listed equity market, any exchange, any currency, any regulatory jurisdiction — within a single subscription.

Local currency settlement in HKD is available on request at the prevailing mid-market rate locked at contract signing for the initial contract term.